Practitioner's Docket No. ARC 2427 N1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Kleiner, Lothar

Application No.: 09/976,228

Filed: 10/12/2001

Group No.: 1616

Examiner: Unknown

For: RATE CONTROLLING MEMBRANES FOR CONTROLLED DRUG DELIVERY DEVICES

Assistant Commissioner for Patents

Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EL523935124US Date of Deposit 05/13/2002

I hereby state that the following attached paper or fee

Preliminary Amendment – 9 pp. Marked-up Claims – 10 pp. Clean Claims – 9 pp. Amendment Transmittal -3 pp. Acknowledgement Postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. section 1.10, on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Katrina M. Ghafghaichi

Signature of person mailing paper or fee

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In re application of: Kleiner, Lothar

Application No.: 09/976,228 Group No.: 1616 Filed: 10/12/2001 Examiner: Unknown

For: RATE CONTROLLING MEMBRANES FOR CONTROLLED DRUG DELIVERY DEVICES

Assistant Commissioner for Patents Washington, D.C. 20231

AMENDMENT TRANSMITTAL

Transmitted herewith is an amendment for this application. 1.

STATUS

2. Applicant is other than a small entity.

EXTENSION OF TERM

- 3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.
- 4. Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

MAILING FACSIMILE deposited with the United States Postal Service transmitted by facsimile to the Patent and Trademark Office. with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231. Signature Date: 05/20/2002 ZJUHAR1 00000101 011173 09976228 (type or print name of person certifying)

(Amendment Transmittal--page 1 of 5)

FEE FOR CLAIMS

5. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

(Col.1) Claims Remaining After Amendment			(Col. 2)	(Col. 3) Present Extra	OTHER THAN A SMALL ENTITY			
			Highest No. Previously Paid For		Rate	Addit. Fee		
Total	50	Minus	20	= 30	x \$18 =	\$540	<u>-</u> .	
Indep.	20	Minus	3	= 17	x \$80 =	\$1,360		
First Presentation of Multiple Dependent Claim					+ \$270 =	\$0		
					Total Addit. Fee	\$1,900		

- * If the entry in Col. 1 is less than the entry in Col. 2, write "O" in Col. 3,
- ** If the "Highest No. Previously Paid For" IN THIS SPACE (Column 2, Row 1) is less than 20, enter "20".
- *** If the "Highest No. Previously Paid For" IN THIS SPACE (Column 2, Row 2) is less than 3, enter "3".

 The "Highest No. Previously Paid For" (Total or Indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

Total additional fee for claims required \$1,900.00

FEE PAYMENT

6. Charge Account No. 01-1173 the sum of \$1,900.00. A duplicate of this transmittal is attached.

FEE DEFICIENCY

7. If any additional extension and/or fee is required, charge Account No. 01-1173. If any additional fee for claims is required, charge Account No. 01-1173.

Date: May 13, 2002

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